

Dual-Readout Calorimetry

Letter of Intent

Authors:

Jinky Agarwala^{1,2}, Nural Akchurin³, Sebastiano Albergo^{4,5}, Massimiliano Antonello^{6,7}, Sunanda Banerjee⁸, Franco Bedeschi⁹, Mihaela Bezak¹⁰, Massimo Caccia^{6,7}, Valery Chmill¹⁰, Christopher Cowden³, Jordan Damgov³, Sarah C. Eno¹¹, Roberto Ferrari², Gerardo Ganis¹², Gabriella Gaudio², Paolo Giacomelli¹³, Stefano Giagu^{14,15}, John Hauptman¹⁶, Clement Hensens¹², Bob Hirosky¹⁷, Aneliya Karadzhinova-Ferrer¹⁰, Sanghyun Ko¹⁸, Shuichi Kunori³, Jason Lee¹⁹, Se-hwook Lee²⁰, Yong Liu²¹, Marco Lucchini²², Harvey Newman²³, Toyoko Orimoto²⁴, Lorenzo Pezzotti^{1,2}, Giacomo Polesello², Edoardo Proserpio^{6,7}, Jianming Qian²⁵, Manqi Ruan²¹, Željko Samec¹⁰, Romualdo Santoro^{6,7}, Alan Sill³, Christopher G. Tully²², Iacopo Vivarelli²⁶, Valentin Volk¹², Hwidong Yoo²⁷, Ren-Yuan Zhu²³

¹Università degli Studi di Pavia; ²INFN, Pavia; ³Texas Tech University; ⁴Università degli Studi di Catania; ⁵INFN, Catania; ⁶Università degli Studi dell'Insubria; ⁷INFN, Milano; ⁸Fermi National Laboratory; ⁹INFN, Pisa; ¹⁰Ruder Bošković Institute; ¹¹University of Maryland; ¹²CERN; ¹³INFN, Bologna; ¹⁴Università La Sapienza, Roma; ¹⁵INFN, Roma I; ¹⁶Iowa State University; ¹⁷University of Virginia; ¹⁸Seoul National University; ¹⁹University of Seoul; ²⁰Kyungpook National University; ²¹IHEP, Beijing; ²²Princeton University; ²³California Institute of Technology; ²⁴Northeastern University; ²⁵University of Michigan; ²⁶University of Sussex; ²⁷Yonsei University.

Abstract

The design of present HEP calorimetry systems is largely driven by issues of rad-hardness and pile-up rejection and by the presence of large-dynamic-range signals. As a consequence, hadron calorimeters have modest low-energy performance, dominated by stochastic terms ranging from $\sim 45\%/\sqrt{E}$ to $\sim 80\%/\sqrt{E}$. All the above experimental conditions are absent at leptonic Higgs factories [1–4] so that detectors with unprecedented precision, resolution and reliability can be successfully exploited to reconstruct very clean events of energies $O(100\text{ GeV})$. The 20-year-long *R&D* program on Dual-Readout Calorimetry (DR, DRC) of the DREAM/RD52 collaboration [5–12] shows that, through the parallel, independent readout of scintillation (S) and Čerenkov (C) light, the fluctuations in the electromagnetic (*em*) fraction of hadronic showers can be canceled and a $\sim 30\%/\sqrt{E}$ hadronic resolution is achievable, together with excellent particle-ID capability and *em* energy resolutions. All exceed the (rather modest) minimal requirements in the existing detector requirement documents [1–4]. The *em* resolution could be improved to state-of-the-art performance by exploiting DR in a crystal *em* calorimeter [5, 13]. However, much work remains to design an optimized DRC system and assess its impact on the physics program at future Higgs factories. In this LoI, we review open issues on state-of-the-art DRC and its optimization in association with a full-detector design, as a result of the ongoing work for the IDEA [2, 3, 14] proposal for a CEPC/ FCCee detector. We invite others in the HEP community (in particular in the US) to join us in this work.

Advantages of Precision, High Resolution Calorimeters

The challenges of the lepton collider program are largely set by the nature of the datasets, measurements therein, and new physics observables. The number of acquired ZH events is expected to exceed 10^6 with additional statistics collected at the Z peak around 10^{12} , 5(6) orders of magnitude higher than LEP(SLC). To optimally benefit from these large datasets, the intrinsic measurement resolutions and event-to-event information have to be substantially increased.

Roughly 2/3 of all HZ events will have 4 or more jets, all made of particles with an average energy of $\sim 2 - 3\text{ GeV}$. The DRC fiber-sampling approach brings the stochastic term down below $30\%/\sqrt{E}$ through a high sampling frequency and the integration of the shower over its longitudinal development. The latter, in addition, leads to a less-noisy information.

The DR information, with the same level of granularity of the LHC detectors, further improves the measurement by removing the systematic non-linearity stemming from the *e/h* response. In the crystal *em* calorimetry option, already proposed in the 4th Concept LoI [15, 16], the DR performance for hadronic showers is maintained at the exceptional level of the fiber-sampling calorimeter, while also providing $3\%/\sqrt{E}$ photon resolution with multiple longitudinal segments, at nearly 100% sampling fraction and optimized for particle separation and particle identification. The fast, intrinsic timing capabilities of DRC makes it well-suited for beating down out-of-time backgrounds, as expected at muon colliders, and enhancing particle identification through the time-of-flight/arrival of MIPs and neutrals, needed for heavy flavor physics.

The path of detector and simulation studies is outlined below. The highest impact innovations in HEP came from pushing the detectors and analysis in unison to achieve the challenges of new collider frontiers. The DRC will be the first major advance in measurement resolution over all previous collider-detector calorimetry systems and will provide an excellent training ground for future talents in experimental physics.

64 **Detector R&D**

65 The advancements in solid-state light sensors such as SiPMs have opened the way for highly gran-
66 ular fiber-sampling detectors with the capability to resolve the shower angular position at the mrad
67 level or even better. Readout ASICs providing timing with ~ 100 ps precision may allow to reconstruct
68 the longitudinal shower position with ~ 5 cm precision but the large number and density of channels
69 call for an innovative readout architecture for efficient information extraction. Both charge-integrator
70 and waveform-sampling ASICs are available on the market and candidates for first performance
71 studies have been identified. Digital SiPMs (dSiPMs) may allow to greatly simplify the readout archi-
72 tecture but the technology is still far from maturity. An R&D program is under discussion.

73 Robust and reliable methods for the mechanical construction and assembly have to be identified.
74 The gluing of capillary tubes looks very promising and is being exploited for the construction of a
75 1 m long, 10×10 cm² module. Scaling up to $\sim 60 \times 60$ cm² will be the next step. Projective-geometry
76 solutions will be studied in a Korean-funded R&D program.

77 About fibers, a suitable choice of core material, numerical aperture and properly tuned filtering,
78 should allow to obtain yields of 100 – 400 p.e./GeV, with an acceptable attenuation length. Qualifica-
79 tion of fibers, optical coupling and light sensors will be part of the workplan.

80 Crystal calorimeters are not limited by sampling fluctuations and can provide *em* energy res-
81 olutions at the level of $3\%/\sqrt{E}$, allowing efficient reconstruction of π^0 's and particle assignments
82 to jets [13]. The identification of Higgs bosons via missing mass in *ZH* events is one of the key
83 programs at future lepton colliders. The high *em* resolution together with bremsstrahlung recovery
84 will bring the quality of electron-decay channel results at the same level of the muon channel. In
85 addition, precise *em* resolution could benefit flavor physics and lepton universality studies.

86 Past detectors with crystal *em* sections, such as the CMS detector, have poor hadronic resolution
87 due to coarse segmentation and large difference in response to *em* and hadronic particles. Cheaper
88 photodetectors can alleviate the former, DR techniques the latter. While DR in principle works for
89 crystals, in the past, the limitations due to sensor costs and performance, allowed to distinguish
90 S and C photons via timing and optical properties only. The S light yield had to be reduced to
91 the same level of the C yield, severely degrading the *em* resolution. Recent studies [13] show,
92 however, that using SiPMs allows three readouts per tower in a highly segmented calorimeter, with
93 two depth segments, at a reasonable cost, putting within reach the potential of both state-of-the-art
94 electromagnetic and hadronic calorimetry. Thin precision-timing layers added to the front could allow
95 a formidable particle identification system for flavor physics and reduction of machine backgrounds.

96 **Simulation, performance and data analysis**

97 Previous and ongoing physics and performance studies have been performed with a dedicated
98 Geant4 simulation and custom code. One major development will consist in implementing the dual-
99 readout calorimeter concept in a common software called Key4Hep [17]. Apart from understanding
100 the ultimate resolution for all physics objects and evaluating the final performance on the physics
101 programme, open issues include:

- 102 • Design an ad-hoc PFA algorithm and assess its potential physics gains.
- 103 • Develop a detailed, detector-specific, brem-recovery algorithm.
- 104 • Understand the efficacy of the timing layer for reducing machine background at muon colliders.
- 105 • Exploit innovative deep-learning algorithms for coping with complex final states.
- 106 • Study how to further increase the Čerenkov light yield at an affordable cost.
- 107 • Last but not least: validate the simulation predictions with test-beam data.

108 **Invitation to collaborate**

109 We invite others in the HEP community (and in particular in the US) to join us in the development
110 of this exciting detector, so that its great potential for enabling the physics programs of future lepton
111 colliders could be assessed as part of the Snowmass process.

112 Main contacts:

113 [Sarah C. Eno](#), [Roberto Ferrari](#), [Hwidong Yoo](#).

References

- 114
- 115 [1] H. Abramowicz et al., *The International Linear Collider Technical Design Report - Volume 4:*
116 *Detectors*, [arXiv:1306.6329](https://arxiv.org/abs/1306.6329) [[physics.ins-det](#)]. 2
- 117 [2] A. Abada et al., *FCC-ee: The Lepton Collider*, *Eur. Phys. J. Spec. Top.* **228** (2019) 261–623. 2
- 118 [3] The CEPC Study Group, *CEPC Conceptual Design Report, Volume II - Physics and Detector*,
119 [arXiv:1811.10545](https://arxiv.org/abs/1811.10545). 2
- 120 [4] N. Bartosik, A. Bertolin, L. Buonincontri, M. Casarsa, F. Collamati, A. Ferrari, A. Ferrari,
121 A. Gianelle, D. Lucchesi, N. Mokhov, M. Palmer, N. Pastrone, P. Sala, L. Sestini, and
122 S. Striganov, *Detector and Physics Performance at a Muon Collider*, *Journal of*
123 *Instrumentation* **15** (May, 2020) P05001–P05001.
124 <https://doi.org/10.1088/1748-0221/15/05/P05001>. 2
- 125 [5] S. Lee, M. Livan, and R. Wigmans, *Dual-readout calorimetry*, *Rev. Mod. Phys.* **90** (Apr, 2018)
126 *025002*. <https://link.aps.org/doi/10.1103/RevModPhys.90.025002>. 2
- 127 [6] N. Akchurin et al., *Detection of electron showers in dual-readout crystal calorimeters*, *Nucl.*
128 *Instrum. Meth. A* **686** (2012) 125–135. 2
- 129 [7] N. Akchurin et al., *The electromagnetic performance of the RD52 fiber calorimeter*, *Nucl.*
130 *Instrum. Meth. A* **686** (2012) 125–135. 2
- 131 [8] S. Lee et al., *Hadron detection with a dual-readout fiber calorimeter*, *Nucl. Instrum. Meth. A*
132 **866** (2017) 76–90. 2
- 133 [9] N. Akchurin et al., *Lessons from Monte Carlo simulations of the performance of a dual-readout*
134 *fiber calorimeter*, *Nucl. Instrum. Meth. A* **762** (2014) 100–118. 2
- 135 [10] N. Akchurin et al., *Particle identification in the longitudinally unsegmented RD52 calorimeter*,
136 *Nucl. Instrum. Meth. A* **735** (2014) 120–129. 2
- 137 [11] M. Antonello et al., *Tests of a dual-readout fibre calorimeter with SiPM light sensors*, *Nucl.*
138 *Instrum. Meth. A* **899** (2018) 52–64. 2
- 139 [12] M. Antonello et al., *Development of a Silicon Photomultiplier based dual-readout calorimeter:*
140 *The pathway beyond the proof-of-concept*, *Nucl. Instrum. Meth. A* **963** (2019) 127–129. 2
- 141 [13] M. T. Lucchini, W. Chung, S. Eno, Y. Lai, L. Lucchini, M. Nguyen, and C. G. Tully, *New*
142 *perspectives on segmented crystal calorimeters for future colliders*, [arXiv:2008.00338](https://arxiv.org/abs/2008.00338)
143 [[physics.ins-det](#)]. 2, 3
- 144 [14] R. Aly et al., *First test-beam results obtained with IDEA, a detector concept designed for future*
145 *lepton colliders*, *Nucl. Instrum. Meth. A* **958** (2020) 162088. 2

- 146 [15] G. Drobychev et al., *4th Detector Concept - Letter of Intent*, 2009.
147 <http://www.4thconcept.org/4LoI.pdf>. 2
- 148 [16] A. Mazzacane, *The 4th Concept Detector for the ILC*, *Nucl. Instrum. Meth. A* **617** (2010)
149 173–176. 2
- 150 [17] A. Sailer, G. Ganis, P. Mato, and G. A. Stewart, *Towards a Turnkey Software Stack for HEP*
151 *Experiments*, to appear in *J. Phys. Conf. Ser.* (2020) . 3